

Appendix A - Audit Plan Monitoring 2022/23 November 2022										
	Risk Area	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	Medium risk recommendations	Low risk recommendations
2022/23 Audit Plan										
Projects - Council housebuilding	Strategic Growth and Regeneration	12	Final report	■	■	■	Reasonable	0	3	5
Annual Service Charge (ASC) audit c/f from 2021/22	Housing	12	In progress	■						
Housing Health and Safety c/f from 2021/22	Housing	15	In progress	■						
Pets Corner	Communities and Environment	12	In progress	■						
KFC - Treasury Management	Finance	10	In progress	■						
Safes (new audit)	Finance	10	In progress	■						
Houses of Multiple Occupancy (HMO's)	Housing	12	Scoping	■						
Service Charge Major Works Replacement	Housing	12								
Corporate Performance indicators (underperformance)	Governance and Corporate Support	12								
Climate Change Strategy and Action Plan	Communities and Environment	12								
KFC - Payroll	Finance	15								
Value For Money (VFM) analytics - expenses	Finance	20								
IT Strategy	Governance and Corporate Support	8	Defer - subject to approval							
Planned Maintenance and Major Works	Housing	20	Defer - subject to approval							
Not a specific audit										
Information Governance Group and action plan	Governance	20	On-going	■						
Project Management	Governance	8	On-going	■						
Risk management and service/business planning	Finance	8	On-going	■						
Business grants - Post assurance verification checks	Finance	5	On-going	■						
Totals		199		7	1	1		0	3	5
Key										
c/f carried forward										
KFC - Key Financial Control										